SHELTER ROCK PUBLIC LIBRARY

PROCUREMENT POLICY

This resolution sets forth the policies and procedures of the Shelter Rock Public Library to meet the requirements of General Municipal Law, Section 104-b.

PURPOSE

Goods and services which are not required by law to be procured pursuant to competitive bidding must be procured in a manner so as to assure the prudent and economical use of public moneys, in the best interests of the taxpayers, to facilitate the acquisition of goods and services of maximum quality at the lowest possible cost under the circumstances, and to guard against favoritism, improvidence, extravagance, fraud and corruption. To further these objectives, the governing board is adopting internal policies and procedures governing all procurements of goods and services which are not required to be made pursuant to the competitive bidding requirements of General Municipal Law, Section 103 or of any other general, special or local law.

PROCEDURES FOR DETERMINING WHETHER PROCUREMENTS ARE SUBJECT TO BIDDING

Every purchase to be made must be initially reviewed to determine whether it is a purchase contract or a public works contract. Once that determination is made, a good faith effort will be made to determine whether it is known or can reasonably be expected that the aggregate amount to be spent on the item of supply or service is not subject to competitive bidding, taking into account past purchases and the aggregate amount to be spent in a year. The following items are not subject to competitive bidding pursuant to Section 103 of the General Municipal Law: purchase contracts under $20,000 and public works contracts under $35,000; emergency purchases; certain municipal hospital purchases; goods purchased from agencies for the blind or severely handicapped; goods purchased from correctional institutions; purchases under State and County contracts; and surplus and second-hand purchases from another governmental entity.

The decision that a purchase is not subject to competitive bidding will be documented in writing by the individual making the purchase. This documentation may include written or verbal quotes from vendors, a memo from the purchaser indicating how the decision was arrived at, a copy of the contract indicating the source which makes the item of service exempt, a memo from the purchaser detailing the circumstances which led to an emergency purchase or any other written documentation that is appropriate.
STATUTORY EXCEPTIONS FROM THESE POLICIES AND PROCEDURES

Except for procurements made pursuant to General Municipal Law, Section 103(3) (through County contracts) or Section 104 (through State contract), State Finance Law, Section 175-b (from agencies for the blind or other severely handicapped, special employment programs for the mentally ill or veterans’ workshops), Correction Law, Section 186 (articles manufactured in correctional institutions), or the items excepted herein (see below), alternative proposals or quotations for goods and services shall be secured by use of written requests for proposals, written quotations, verbal quotations or any other method of procurement which furthers the purposes of General Municipal Law, Section 104-b.

METHODS OF COMPETITION TO BE USED FOR NON-BID PROCUREMENTS

The methods of procurement to be used are as follows:

<table>
<thead>
<tr>
<th>Estimated Amount of Purchase Contract</th>
<th>Method</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1,000 - $5,999</td>
<td>2 oral quotations</td>
</tr>
<tr>
<td>$6,000 - $20,000</td>
<td>3 written fax/quotations or written requests for proposals</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Estimated Amount of Public Works Contract</th>
<th>Method</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1,000 - $5,249</td>
<td>2 oral quotations</td>
</tr>
<tr>
<td>$5,250 - $8,749</td>
<td>2 written/fax quotations</td>
</tr>
<tr>
<td>$8,750 - $35,000</td>
<td>3 written fax/quotations or written requests for proposals</td>
</tr>
</tbody>
</table>

ADEQUATE DOCUMENTATION

Documentation of actions taken in connection with each such method of procurement is required as follows:

A good faith effort shall be made to obtain the required number of proposals or quotations. If the Purchaser is unable to obtain the required number of proposals or quotations, the purchaser will document the attempt made at obtaining the proposals. In no event shall the failure to obtain the proposals be a bar to the procurement.
AWARDS TO OTHER THAN LOWEST RESPONSIBLE DOLLAR OFFERER

Documentation and an explanation is required whenever a contract is awarded to other than the lowest responsible offeror. This documentation will include an explanation of how the award will achieve savings or how the offeror was not responsible. A determination that the offeror is not responsible shall be made by the purchaser and may not be challenged under any circumstances. *Circumstances under which the contract may not be awarded to the lowest responsible offeror or contractor include, but are not limited to:

1. Offeror cannot guarantee delivery of goods or services within the time frame or under the conditions established by the Library;
2. Offeror's or Contractor's terms for payment are disadvantageous to the Library, e.g., full payment before commencement of work or delivery of goods;
3. Offeror cannot fully comply with the Specifications for goods or services as set forth by the Library;
4. Offeror's warranty for goods or services is deemed inadequate by the Library;
5. Offeror's post-purchase support services are deemed inadequate by the Library.

ITEMS EXCEPTED FROM POLICIES AND PROCEDURES BY BOARD

Pursuant to General Municipal Law Section 104-b(2)(g), the procurement policy may contain circumstances when or types of procurements for which, in the sole discretion of the governing body, the solicitation of alternative proposals or quotations will not be in the best interest of the municipality. In the following circumstances it may not be in the best interest of the Shelter Rock Public Library to solicit quotations or document the basis for not accepting the lowest bid:
A) Professional services or services requiring special or technical technical skill, training or expertise. The individual or company must be chosen based on accountability, reliability, responsibility, skill, education and training, judgment, integrity, and moral worth. These qualifications are not necessarily found in the individual or company that offers the lowest price and the nature of these services are such that they do not readily lend themselves to competitive procurement procedures.

In determining whether a service fits into this category, the Shelter Rock Public Library Board shall take into consideration the following guidelines:

1) whether the services are subject to State licensing or testing requirements.
2) whether substantial formal education or training is a necessary prerequisite to the performance of the services; and
3) whether the services require a personal relationship between the individual and municipal officials.

Professional or technical services shall include but not be limited to the following: services of an attorney; services of a physician; technical services of an engineer engaged to prepare plans, maps and estimates; securing insurance coverage and/or services of an insurance broker; services of a certified public accountant and computer software or programming services for customized programs, or services for customized programs, or services involved in substantial modification and customizing of pre-packaged software.

B) Emergency purchases pursuant to Section 103(4) of the General Municipal Law. Due to the nature of this exception, these goods or services must be purchased immediately and a delay in order to seek alternate proposals may threaten the life, health, safety or welfare of the residents. This section does not preclude alternate proposals if time permits.

C) Purchases of surplus and second-hand goods from the federal government, the State of New York, or from any other political subdivision, district or public benefit corporation.

D) Goods or services under $1,000. The time and documentation required to purchase through this policy may be more costly than the item itself and would therefore not be in the best interests of the taxpayer. In addition, it is not likely that such deminimis contracts would be awarded based on favoritism.
INPUT FROM OFFICERS

Comments concerning the policies and procedures shall be solicited from officers of the political subdivision or district therein involved in the procurement process prior to the enactment of the policies and procedures, and will be solicited from time to time hereafter.

ANNUAL REVIEW

The governing board shall annually review these policies and procedures. The Library Director or Secretary to the Library Board shall be responsible for conducting an annual review of the procurement policy and for an evaluation of the internal control structure established to ensure compliance with the procurement policy.

CONFLICT OF INTEREST

In accordance with the Library’s Conflict of Interest Policy, no purchase of goods or services shall be made from any vendor in which any member of the Library Board of Trustees, Officer, employee or any family member of the Library Board, Officer or employee, holds a full or partial interest.

UNINTENTIONAL FAILURE TO COMPLY

The unintentional failure to fully comply with the provisions of General Municipal Law, Section 104-b shall not be grounds to void action taken or give rise to a cause of action against the Shelter Rock Public Library or any officer or employee thereof.

RESOLUTION

Adopted on May 19, 2011 by a vote of the governing board of the Shelter Rock Public Library as follows:

Motion by: _________________
Seconded by: _________________

Polling of the Board resulted in the following vote:

Ayes: 5
Nays: 0
Absent: 0

Dated: JULY 10, 2002
Amended: MARCH 12, 2003
Amended: FEBRUARY 9, 2011
Amended: May 19, 2011